

P 01/IB04/512534L030884



Europäisches  
Patentamt

European  
Patent Office

Office européen  
des brevets

IB04/51253

Bescheinigung

Certificate

Attestation

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

03102304.7

REC'D	23 JUL 2004
WIPO	PCT

**PRIORITY DOCUMENT**  
SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH  
RULE 17.1(a) OR (b)

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office  
Le Président de l'Office européen des brevets  
p.o.

R C van Dijk

BEST AVAILABLE COPY



Anmeldung Nr:  
Application no.: 03102304.7  
Demande no:

Anmeldetag:  
Date of filing: 25.07.03  
Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

Koninklijke Philips Electronics N.V.  
Groenewoudseweg 1  
5621 BA Eindhoven  
PAYS-BAS

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:  
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.  
If no title is shown please refer to the description.  
Si aucun titre n'est indiqué se referer à la description.)

Hybrid recordable optical record carrier

In Anspruch genommene Priorität(en) / Priority(ies) claimed /Priorité(s)  
revendiquée(s)  
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/  
Classification internationale des brevets:

G11B7/00

Am Anmeldetag benannte Vertragstaaten/Contracting states designated at date of  
filing/Etats contractants désignés lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL  
PT RO SE SI SK TR LI

**Hybrid recordable optical record carrier**

The present invention relates to a recordable optical record carrier comprising two different kinds of recordable information layers for recording information by means of a focused radiation beam.

5

A hybrid optical ROM-disc having two information layers that are compatible with different read-out systems is disclosed in US 6,434,107. A hybrid Super-Audio CD (SA-CD) consisting of two information layers is described in the Super-Audio CD system description, part 1 physical description. The first information layer of such a SA-CD is

10 positioned at a depth of 1.2mm and contains conventional CD-audio data. This information layer can be read by existing CD-players using a wavelength  $\lambda$  of approximately 780nm and a numerical aperture NA of 0.45. A second information layer is positioned at a depth of 0.6mm. This second semi-transparent information layer is a high density (HD) layer and contains the audio data in super audio quality. This high density information layer is read  
15 using DVD-like optics using a wavelength  $\lambda$  of approximately 650nm and a numerical aperture NA of 0.6.

Currently, no recordable media are available which can be used to make a  
20 back-up of the full content of such a SA-CD. It is therefore an object of the present invention to provide such a recordable optical record carrier.

This object is achieved according to the present invention by a recordable optical record carrier as claimed in claim 1 comprising:

- a first transparent substrate layer,  
25 - a first semi-transparent recordable information layer including an organic dye material having a high data storage capacity,  
- a second transparent substrate layer,  
- a second recordable information layer including an organic dye material having a lower data

storage capacity density than said first information layer, and

- a cover layer.

The present invention is based on the idea to combine a conventional CD-R information layer having a low data density (data storage capacity) with a semi-transparent

5 DVD+R information layer having a high data density. By this combination a recordable optical record carrier is obtained that, in principle, is capable of storing a back-up of the full content of a hybrid CD/DVD disc. Such a hybrid optical disc can be recorded in a combi-recorder (CD/DVD-recorder) with appropriate adaptions.

Preferred embodiments of the invention are defined in the dependent claims.

10 Preferably the first information layer is an information layer as used as L0 layer in a dual-layer DVD+R disc. Suitable layers to be used for dual-layer optical data storage media have been described in international patent applications PCT/IB03/00090 (PHNL 030043) and PCT/IB03/01377 (PHNL 030310). All descriptions regarding the L0 layer provided in these documents are herein incorporated by reference.

15 Preferred embodiments of the first information layer as described in these documents are defined in claims 3 to 6. According to a first preferred embodiment the first information layer has a first complex refractive index  $\tilde{n}_{\lambda_1} = n_{\lambda_1} - i k_{\lambda_1}$  at a first wavelength  $\lambda_1$  and a second complex refractive index  $\tilde{n}_{\lambda_2} = n_{\lambda_2} - i k_{\lambda_2}$  at a second wavelength  $\lambda_2$ , a thickness d, an optical reflection value  $R_1$  at said first wavelength  $\lambda_1$  and an optical transmission value  $T_2$  at said second wavelength  $\lambda_2$ , wherein the following conditions are fulfilled:  $T_2 \geq 0.76$ ,  $R_1 \geq 0.15$ ,  $n_1 \geq 2.0$ ,  $k_1 < 0.3$ ,  $k_2 < 0.1$  and d is in the range of  $\lambda_1/8n_1 \leq d \leq 5\lambda_1/8n_1$ ,  $\lambda_1$  being the wavelength of a radiation beam used for recording information in the first information layer and  $\lambda_2$  being the wavelength of a radiation beam used for recording information in said second information layer.

20 25 In order to achieve a reflectivity  $R_2$  at said second wavelength  $\lambda_2$  used for recording and read-out of the second information layer of at least 58% from the second information layer the first information layer is made highly transparent at the second wavelength  $\lambda_2$  used for recording and read-out of the second information layer.

30 Preferably the transmission  $T_2$  of the first information layer is selected to be at least 76% at a second wavelength of  $\lambda_2=780\text{nm}$ , which is preferably used for recording on the second information layer. By a selection of the parameters as defined in claim 3 a sufficiently high transmission at the second wavelength, which is preferably 780 nm, and a sufficiently high reflectivity at the first wavelength, which is preferably 650 nm, are obtained, and, at the

same time, the information layer can be easily produced. Further embodiments of this idea are described in the above mentioned international patent application PCT/IB03/01377, which further embodiments are herein incorporated by reference.

Preferred values of the thickness  $d_{RG}$  of the groove portion are defined in  
5 claim 5. Preferably, a non-metallic reflector layer is provided adjacent the first information layer, which additional reflective layer comprises a dielectric material e.g.  $\text{SiO}_2$ ,  $\text{SiC}$ ,  $\text{ZnS}$ ,  $\text{ZnS}-\text{SiO}_2$  (80:20), or a semiconducting material e.g. Si, and has a thickness  $d_T$  in the range  $5\text{nm} \leq d_T \leq 120\text{nm}$ .

In principle, it is possible to use a non-dye recordable information layer as first  
10 information layer. However, the use of dyes has the important advantage of a very high intrinsic transparency of the high density recording dye at the wavelength used for recording on the second (CD-R) information layer. In a further embodiment the first and second substrate layers have a thickness in the range of 0.55 to 0.65mm, in particular in a range of 0.57 to 0.63mm, preferably approximately 0.6mm.

15 As already mentioned, an additional semi-transparent reflector layer is provided in a further embodiment between the first information layer and the second substrate layer. This additional reflector layer can be either a dielectric (non-metallic) mirror layer made of e.g.  $\text{SiO}_2$  or  $\text{SiC}$ , or a metallic mirror layer, e.g. made of Ag. Moreover, the semi-transparent reflector layer can be made of more than one layer, for instance using  
20 dielectric mirror principles to further fine-tune the reflection at the wavelength used for recording/read-out at the first information layer (preferably  $\lambda_1=650\text{nm}$ ) and the transmission used for recording/read out at the first information layer (preferably  $\lambda_2=780\text{nm}$ ).

Besides the above mentioned use for making a back-up of the full content of a SA-CD, the record carrier according to the present invention provides the possibility to  
25 distribute small volumes of SA-CDs since the efforts for producing read-only SA-CDs are quite high and expensive. Thus, instead of SA-CD-ROM discs recordable record carriers can be recorded with the same amount of information which is much easier and cheaper.

Furthermore, record carriers according to the present invention can be used for authoring in the production process of ROM discs. Before the start of a large-scale  
30 production of a disc, having e.g. audio-content, there is always made a first test-disc to check if the disc works properly, for instance to check if the content is correct and if the disc provides a good playback etc. This is rather expensive procedure as it requires a full production process comprising, among others, stamper fabrication, disc molding etc. It is much faster and cheaper to make a test recording of the content of the disc on a recordable

record carrier. The record carrier according to the present invention can thus be used in the authoring process of an SA-CD disc.

5           The invention will now be explained in more detail with reference to the drawings in which

Fig. 1 shows a schematical layout of an optical record carrier according to the invention,

10          Fig. 2 shows a schematical layout of a portion of another embodiment of a record carrier according to the invention,

Fig. 3 shows the optical constants of a typical dye used for the first information layer,

Fig. 4 shows the transmission of a first information layer with a SiO<sub>2</sub> dielectric mirror layer at 780nm,

15          Fig. 5 shows the transmission of the first information layer with a SiC dielectric mirror layer at 780nm and

Fig. 6 shows the transmission of the first information layer with a Ag metal mirror layer at 780nm.

20

A schematic layout of a first embodiment of a record carrier according to the present invention is shown in Fig. 1. Seen from the side at which a recording/read out laser beam L having a wavelength  $\lambda$  is incident, the record carrier comprises the following layers:

- a first transparent substrate layer, for instance having a thickness of approximately 0.6mm;
- a first at least semi-transparent recordable information layer 2 having a high data density and including an organic dye material as used for information layers in DVD+R, for instance having a thickness of approximately 80nm;
- an adhesive layer 3;
- a second transparent substrate layer 4, for instance having a thickness of approximately 0.6mm;
- a second information layer 5 including an organic dye material as used in information layers of CD-R discs and having a lower data density than the first information layer 2, for instance having a thickness of 150 nm; and

a cover layer 6.

It should be noted that the information layer 2, 5 are shown as representative for appropriate recording stacks which generally also include further layers, such as dielectric, mirror or reflective layers which are not shown in Fig. 1 for simplicity's sake.

5 The position of the second information layer 5 is determined by the total optical thickness of the two substrate layers 1 and 4 and the adhesive layer 3, i.e. the position of the second information layer 5 is determined by the optical depth:  $n_1 d_1 + n_4 d_4 + n_3 d_3$  wherein n indicates the real part of the complex refractive index  $\tilde{n} = n - i k$  at a second wavelength  $\lambda_2$  (e.g. 780 nm) and d indicating the thickness. In a particular embodiment the  
10 position of the second information layer 5 is in an optical depth depth of  $1.86 \pm 0.1$ , which results in an optical depth of the second information layer 5 that is identical to that in conventional CDs (CD substrate thickness 1.2 mm and CD substrate refractive index 1.55).  
The optical thickness  $n * d$  is relevant here; for the first information layer 2 this should be similar to CD:  $d * n = 1.2 \text{ mm} * 1.55$ . The above calculations allows to correct the physical  
15 depth of the second information layer due to possibly different refractive indices of the spacer, adhesive, and substrate layers.

For read-out and recording of data in the first information layer 2 a laser beam L is used having preferably a wavelength  $\lambda_1$  of approximately 650nm as is used in DVD+R technology while for read-out and recording of data in the second information layer 5 a laser beam L is used preferably having a second wavelength  $\lambda_2$  of approximately 780nm as is used in CD-R technology. A reflection level of the information layers 2 and 5 at the respective wavelength should be at least 15% and 58% respectively. To achieve this for the second information layer 5 it implies that the first information layer 2 must have a high transmission value T at the second wavelength  $\lambda_2$  used for recording/read-out of data on the second  
25 information layer 5, i.e. at 780nm. In a preferred embodiment the dye material is therefore selected such that the real part  $n_{650}$  of the complex refractive index  $\tilde{n}_{650}$  is at least 2.0 and that the imaginary part  $k_{650}$  of the complex refractive index  $\tilde{n}_{650}$  is smaller than 0.3 at a first wavelength  $\lambda_1$  and that the imaginary part  $k_{780}$  of the complex refractive index  $\tilde{n}_{780}$  is smaller than 0.1 at the second wavelength  $\lambda_2$ .

30 Furthermore, it has been shown to be advantageous that for the thickness of the first information recording layer 2 it holds:  $\lambda_1/8n \leq d \leq 3\lambda_1/8n$  in case of a non-metallic semitransparent reflector layer adjacent the first recording layer or  $\lambda_1/8n \leq d \leq 5\lambda_1/8n$  in case of a thin metallic semitransparent reflector layer adjacent the first information layer wherein

$\lambda_1$  is the wavelength used for recording/read-out of data of the first information layer 2, thus for instance being 650nm.

A portion of an embodiment of a record carrier according to the invention is schematically shown in Fig. 2. In this embodiment an at least semi-transparent reflector layer 7 is provided between the first information layer 2 and the second substrate layer 4, said first information layer 2 and said reflector layer 7 forming a recording stack, which could possibly include additional layers (not shown) for the purpose of e.g. reflection/transmission tuning, chemical stability, etc.. It should be noted that the adhesive layer 3 is provided, but not shown, but can be also left out completely in a particular embodiment. Moreover, the groove structure of the substrate layer 1 as well as the first information layer 2 and the additional reflector layer 7 is shown. As can be seen, the first substrate layer 1 has a guide groove having a depth g and the first information layer 2 has different thicknesses, a first thickness  $d_{RG}$  in the groove portion which is larger than a thickness  $d_{RL}$  in the portion between the grooves. In contrast, the additional reflector layer 7 substantially has a constant thickness  $d_T$ .  
5 In order to obtain good signals at the wavelength  $\lambda_1$  used for read-out/recording of the first information layer 2 a good selection for the groove depth is such that  $(\lambda_1/650)*50 \text{ nm} < g < (\lambda_1/650)*180 \text{ nm}$ , depending on the detailed stack design.  
10  
15

Moreover, preferred values for the thicknesses are such that it holds for  $d_{RG}$ : wherein the thickness  $d_{RG}$  of said first information layer fulfils the condition  $145 \text{ nm} \leq d_{RG} \cdot n < 245 \text{ nm}$ . The thickness  $d_{RL}$  in the portion between the grooves should be in the range  $d_{RG} - 0.2*g \leq d_{RL} \leq d_{RG} - 0.5*g$ , while the thickness  $d_T$  of the reflector layer 7 should be in the range  $5 \leq d_T \leq 120 \text{ nm}$ . Particular values for the groove depth g are  $50 \text{ nm} < g < 180 \text{ nm}$ . Practical exemplary values of a particular embodiment are:  $g = 80 \text{ nm}$ ,  $d_T = 60 \text{ nm}$ ,  $d_{RL} = 32 \text{ nm}$ ,  $d_{RG} = 80 \text{ nm}$ .

25 Fig. 3 shows the optical constants n, k of a typical dye material used for the first information recording stack comprising the first information layer 2 and the reflector layer 7. As can be seen, at the wavelength  $\lambda = 780 \text{ nm}$  used for recording/read-out of the second information layer, n is approximately 2.0 and k is approximately 0, while at the wavelength  $\lambda = 655 \text{ nm}$  used for recording/read-out of the first information layer 2, n is about 30 2.4 and k is about 0.1.

Different materials can be used for the reflector layer 7. The transmission at a wavelength of 780nm of the first information layer 2 with the additional reflector layer 7 for different materials, in particular for an additional  $\text{SiO}_2$  dielectric reflector layer, and additional  $\text{SiC}$  dielectric reflector layer and an additional Ag metal reflector layer are shown

in the figures 4, 5 and 6, respectively, depending on the reflector thickness. By use of these diagrams an appropriate thickness can be selected such that the transmission is in the desired range.

The present invention provides a recordable optical record carrier which can

5 be used for making a back-up of the full content of a read-only hybrid SA-CD. Furthermore, small volumes of hybrid SA-CDs can be distributed by use of record carriers according to the present invention. Still further, such record carriers can be used in the authoring process of hybrid read-only SA-CD discs.

## CLAIMS:

## 1. Recordable optical record carrier comprising:

- a first transparent substrate layer (1),
- a first semi-transparent recordable information layer (2) including an organic dye material having a high data storage capacity,

5 - a second transparent substrate layer (4),

- a second recordable information layer (5) including an organic dye material having a lower data storage capacity than said first information layer (2), and
- a cover layer (6).

10 2. Record carrier as claimed in claim 1, wherein said first information layer (2) is an information layer as used as L0 layer in a dual-layer DVD+R disc.

3. Record carrier as claimed in claim 1 or 2, wherein said first information layer (2) has a first complex refractive index  $\tilde{n}_{\lambda_1} = n_{\lambda_1} - i k_{\lambda_1}$  at a first wavelength  $\lambda_1$  and a second complex refractive index  $\tilde{n}_{\lambda_2} = n_{\lambda_2} - i k_{\lambda_2}$  at a second wavelength  $\lambda_2$ , a thickness d, an optical reflection value  $R_1$  at said first wavelength  $\lambda_1$  and an optical transmission value  $T_2$  at said second wavelength  $\lambda_2$ , wherein the following conditions are fulfilled:  $T_2 \geq 0.76$ ,  $R_1 \geq 0.15$ ,  
15  $n_1 \geq 2.0$ ,  $k_1 < 0.3$ ,  $k_2 < 0.1$  and d is in the range of  $\lambda_1/8n_1 \leq d \leq 5\lambda_1/8n_1$ ,  $\lambda_1$  being the wavelength of a radiation beam used for recording information in the first information layer  
20 (2) and  $\lambda_2$  being the wavelength of a radiation beam used for recording information in said second information layer (5).

4. Record carrier as claimed in claim 1, wherein said first substrate layer (1) comprises a guide groove having a depth g, the guide groove being present at the side of the substrate layer adjacent said first information layer and wherein said first information layer (2) has a complex refractive index  $\tilde{n} = n - i k$  at a wavelength  $\lambda$  of a radiation beam used for recording information, a thickness  $d_{RG}$  in the groove portion and a thickness  $d_{RL}$  in the

portion between the grooves, said groove depth  $g$  being in the range  $(\lambda/650)*50 \text{ nm} < g < (\lambda/650)*180 \text{ nm}$  with  $\lambda$  expressed in nm.

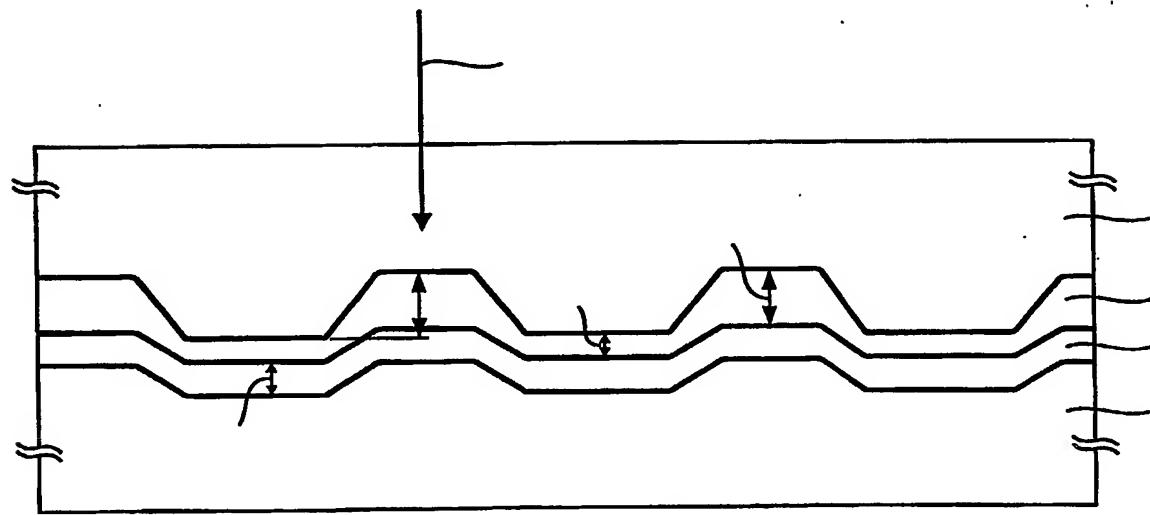
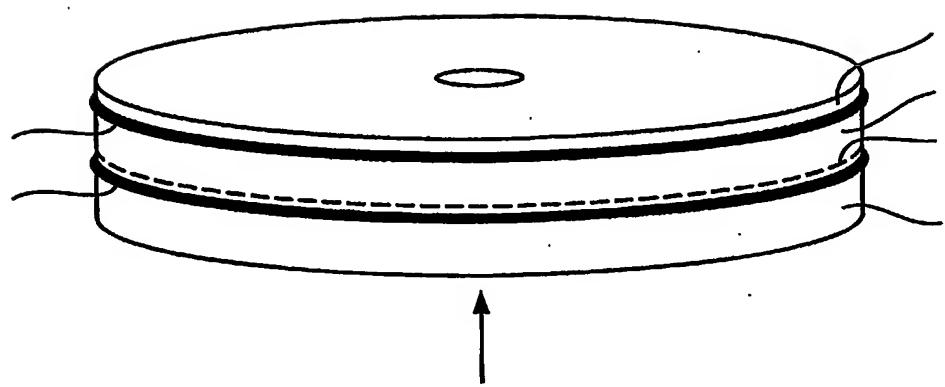
5. Record carrier as claimed in claim 4, wherein the thickness  $d_{RG}$  of said first  
5 information layer (2) fulfils the condition  $145 \text{ nm} \leq d_{RG} \cdot n < 245 \text{ nm}$ .

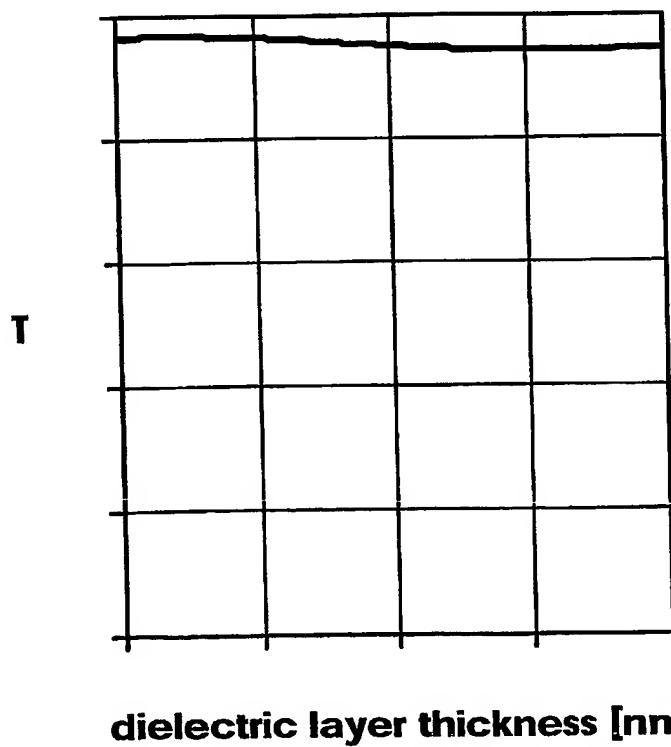
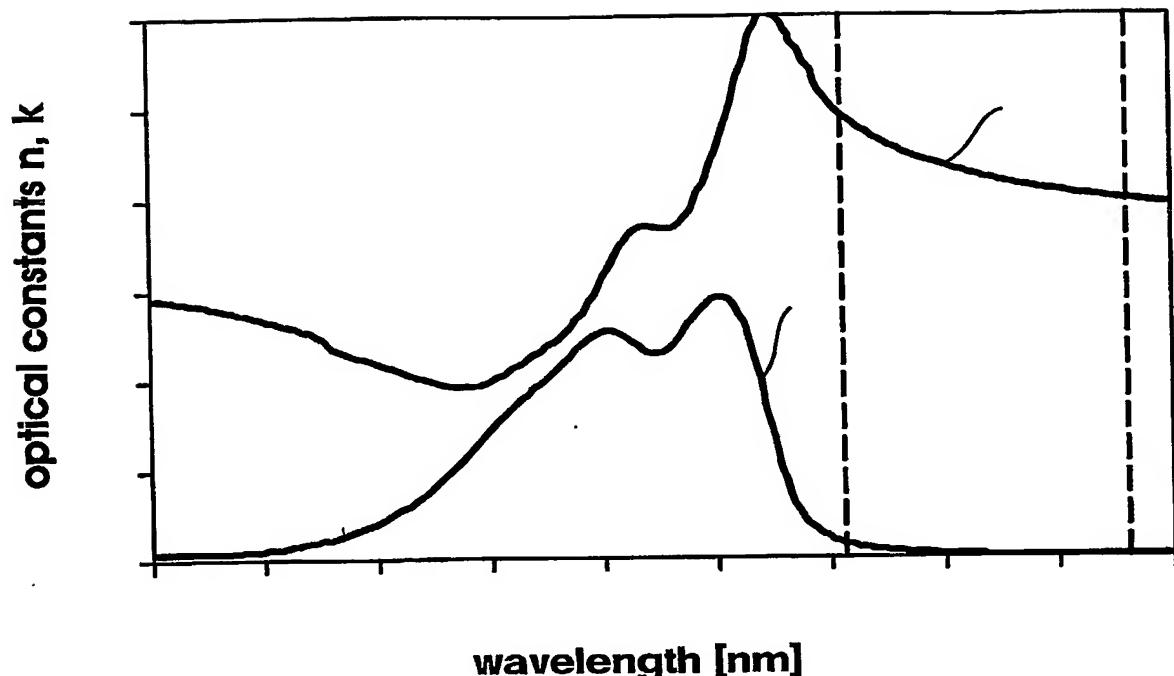
6. Record carrier as claimed in claim 3 or 4, wherein the first wavelength  $\lambda_1$  is approximately 650 nm and the second wavelength  $\lambda_2$  is approximately 780 nm.

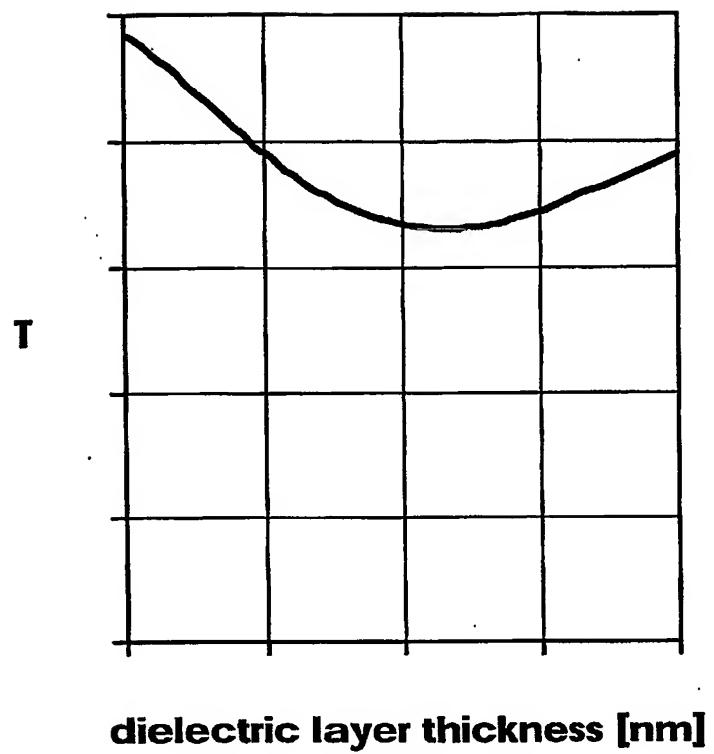
10 7. Record carrier as claimed in claim 1, wherein said second information layer  
(5) is an information layer as used in a CD-R disc.

15 8. Record carrier as claimed in claim 1, wherein said first and said second substrate layers (2, 5) have a thickness in the range of 0.55 to 0.65 mm, in particular of substantially 0.6 mm.

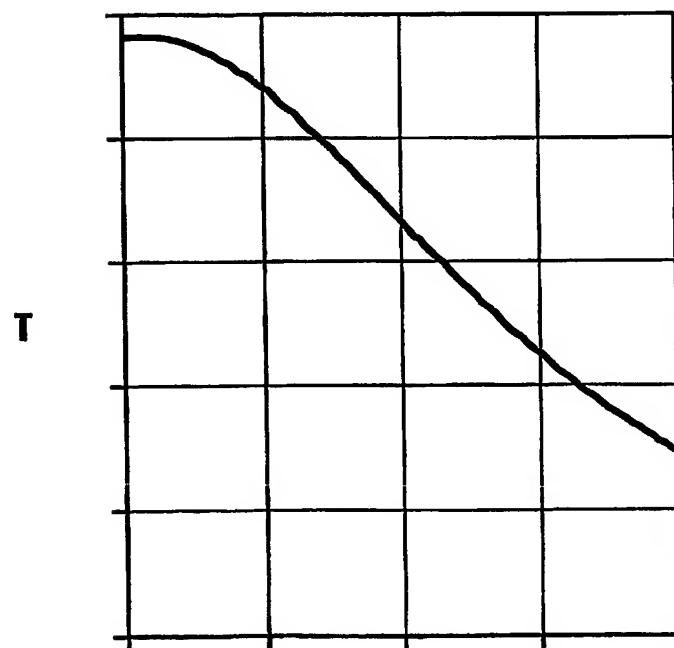
9. Record carrier as claimed in claim 1, further comprising an additional semi-transparent reflector layer (7) between said first information layer (2) and said second substrate layer (4), in particular a dielectric mirror layer made of  $\text{SiO}_2$  or  $\text{SiC}$  or a metallic 20 mirror layer made of Ag.







**dielectric layer thickness [nm]**



**metal layer thickness [nm]**

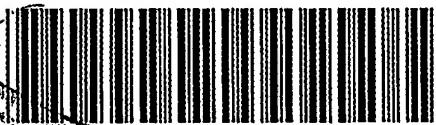
**ABSTRACT:**

The present invention relates to a recordable optical record carrier which can be used to make a back-up of the full content of a SA-CD disc. The proposed record carrier comprises:

- a first transparent substrate layer (1),
- 5 - a first semi-transparent recordable information layer (2) including an organic dye material having a high data storage capacity,
- a second transparent substrate layer (4),
- a second recordable information layer (5) including an organic dye material having a lower data storage capacity than said first information layer (2), and
- 10 - a cover layer (6).

**Fig. 1**

PCT/IB2004/051253



**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

**BLACK BORDERS**

**IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**

**FADED TEXT OR DRAWING**

**BLURRED OR ILLEGIBLE TEXT OR DRAWING**

**SKEWED/SLANTED IMAGES**

**COLOR OR BLACK AND WHITE PHOTOGRAPHS**

**GRAY SCALE DOCUMENTS**

**LINES OR MARKS ON ORIGINAL DOCUMENT**

**REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**

**OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**